Dear Injured Worker.

This packet's intention is to help you understand the claim process. If you do not understand any aspect of the claim process you can contact our Workers' Compensation carrier NCAComp, Inc. at any time by calling their toll free number, (888)806-1109.

If your claim is determined to be compensable, it is NCAComp, Inc.'s job to help you obtain the treatment & services you may need as a result of your injury. They will work with you to return you to your pre-injury medical status and, in the event you lose time from work, to get you back to your job earning full wages as soon as possible.

Enclosed in the packet is the following:

- C-3.0 Employee Claim for Compensation (Return to local Workers' Compensation Board.)
- Instructions for how to fill out the C-3.0 form including a toll free number for assistance (Retain for your records.)
- Statement of Rights (Retain for your records.)
- C-3.3 Limited Release of Health Information (Return to NCAComp, Inc. in the enclosed envelope.)
- HIPAA Release (Return to NCAComp, Inc. in the enclosed envelope.)
- Prescription drug letter (Retain for your records & present to pharmacist.)
- DT-1 Notice That Claimant Must Arrange for Diagnostic Tests & Examinations Through a Network Provider (Retain for your records.)

In order to expedite the processing of your claim please sign the return the enclosed C-3.3 & HIPAA Release to NCAComp, Inc. By filling out the enclosed sheets you are not giving up any rights or payments due to you for your Workers' Compensation claim.

You should not pay any co-pays for prescriptions related to your Workers' Compensation case. A prescription card has been enclosed for you to take to the pharmacy of your choice. Please provide all information to the pharmacy with the attached card. If the pharmacy encounters any difficulty in processing your prescriptions, they should contact NCAComp, Inc. at (888)806-1109.

To ensure that all diagnostic procedures (MRI, EMG, CT Scans) are done timely NCAComp, Inc. has contracted with MedFocus Network. Please contact NCAComp, Inc. if your doctor is requesting one of these studies.

Please mail your Medical Authorization, any medical bills or medical reports to:

NCAComp, Inc. 14 Lafayette Square, Suite 700 Buffalo, NY 14203

Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any material false information, or insurance statement of claim containing information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall be subject to a civil penalty no to exceed \$5,000 and the stand value of the claim for each violation.

Receipt for Employee Information Packet:

have th	is day,, received a copy of the Employee Information
which in	cludes the following:
	C-3: Employee Claim for Compensation (return to local Workers' Compensation Board)
	Instructions for how to fill out the C-3 form, including a toll free number for assistance (retain for your records)
	Statement of Rights (retain for your records)
	C-3.3: Limited Release of Health Information (return to NCAComp, Inc. at the enclosed address)
	HIPAA Release (return to NCAComp, Inc. at the enclosed address)
	Prescription Drug letter (retain for you records & present to your pharmacist)
	DT-1: Notice That Claimant Must Arrange for Diagnostic Tests & Examinations Through a Network Provider
NAM	E:
DATE	·
FMPI	OVEE SIGNATURE:



You were injured at work. What now?

If you have suffered a workplace injury or illness, you may be eligible for workers' compensation benefits. You may have already received medical treatment. If you haven't, you should seek medical care as soon as possible.

For assistance with your claim, call the Workers' Compensation Board at (877) 632-4996.

Your Responsibilities

- ▶ You must notify your employer, in writing, when, where and how you were injured. Do this as soon as possible within 30 days of injury.
- Advise your health care providers that you have a work-related injury, and give the name of your employer. Do not pay for your care or use other health insurance. Your health care provider will file medical reports with the Board and with your employer or its insurance carrier. If your case is disputed, the Board needs a medical report on your injury to begin resolving your claim.
- ➤ You should file an Employee Claim (Form C-3) reporting your injury to the Board as soon as possible (you must notify the Board of your injury or illness within two years). If you injured the same body part before, or had a similar illness, you must also file a Limited Release of Health Information (Form C-3.3).

Two ways to file a Claim

Visit wcb.ny.gov and select File a Claim.

Complete the enclosed paper form(s) and mail to the Board.

If you have questions about filing an Employee Claim (Form C-3), please call (877) 632-4996 and a Board representative will assist you.

Health Care and Travel Bills

Do not pay your health care provider or hospital for treatment received for this injury/illness. Those bills are paid by the insurer unless the Board issues a decision that finds your claim is not valid. If your case is disputed, the healthcare providers will be paid if the Board decides your case in your favor. However, if the Board decides against you, or if you don't pursue a case, you will have to pay the health care provider or hospital (or submit to your health insurance carrier).

Your employer's workers' compensation insurance covers medically necessary drugs and equipment your health care provider prescribes. You may also be reimbursed for mileage, public transportation or other necessary expenses incurred when traveling for treatment. Make sure that you obtain receipts for those expenses, and submit them to your workers' compensation insurer on a Claimant's Record of Medical and Travel Expenses and Request for Reimbursement (Form C-257).

CLAIMANT INFORMATION PACKET

Generally, you can choose any health care provider as long as the provider is authorized by the Board. You can search for an authorized health care provider in your area using the "Find a Doctor" feature on the Board's website at wcb.ny.gov. You can also use occupational health clinics. However, if your employer's workers' compensation insurer has a Preferred Provider Organization (PPO) to provide care for workers' compensation injuries, you must get your initial treatment from the PPO network. If that insurer also has a pharmacy or diagnostic network, you must receive services within these networks. The insurer must tell you about its required provider networks and how to use them.

Benefits for Lost Wages

You are entitled to a portion of your lost wages, which must be paid promptly, if your injury affects you in one or more of the following ways:

- 1. It keeps you from work for more than seven days.
- 2. Part of your body is permanently disabled.
- 3. Your pay is reduced because you now work fewer hours or do other work.

You may hire an attorney or licensed representative for help with your claim, but it isn't required. The Board sets their fees, which will be deducted from your lost wages award. You or your family should not pay anything directly to your attorney or licensed representative.

If your case is disputed, you may receive disability benefits while the case is heard. To get a **Notice and Proof of Claim for Disability Benefits** (Form DB-450), visit **wcb.ny.gov**; call the Board for assistance; or visit a Board office. If the case is resolved in your favor, the disability benefits would be deducted from your lost wages award.

Help is Available

Sometimes you need help getting back to work. Your employer may have alternative or light duty assignments that enable you to work while you heal. An injury can also cause family or financial problems. The Board has vocational rehabilitation counselors and social workers to help. Call the Board for more information on available services and for assistance.

If you are concerned about dependency on opioid pain medications, please call the NYS OASAS HOPELine at 877-8-HOPENY (877-846-7369).

What's Next?

Your employer or its workers' compensation insurance carrier will contact you if your claim is accepted. When that happens, your health care providers will be paid and lost wage benefits begin. If your case is disputed, the Board will notify you about resolving the case and may request additional information if necessary.

IMPORTANT CONTACT INFORMATION

Workers' Compensation Board, including Disability Benefits

(877) 632-4996

general_information@wcb.ny.gov

wcb.ny.gov



The Board's eCase application enables you to view the contents of your case folder online. For general information or to register for eCase, please visit the Board's website at wcb.ny.gov.





Employee Claim

State of New York - Workers' Compensation Board

Fill out this form to apply for workers' compensation benefits because of a work injury or work-related illness. Type or print neatly. This form may also be filled out on-line at www.wcb.ny.gov.

W	VCB Case Number (if you know it):	
A.	1. Name:	_ 2. Date of Birth://
	3. Mailing address:	
	Number and Street/PO Box/Apartment No. City 4. Social Security Number: 5. Phone Number: ()	State Zip Code State Zip Code Male Female
B.	7. Will you need a translator if you have to attend a Board hearing? Yes No If yes, for what Your EMPLOYER(S)	nat language?
	1. Employer when injured: 2. P	hone Number: ()
	3. Your work address:	
	4. Date you were hired:/ 5. Your supervisor's name:	State Zip Code
	6. List names/addresses of any other employer(s) at the time of your injury/illness:	
C.	7. Did you lose time from work at the other employment(s) as a result of your injury/illness? YOUR JOB on the date of the injury or illness	es 🗆 No
	What was your job title or description?	
	What types of activities did you normally perform at work?	
	3. Was your job? (check one)	
	4. What was your gross pay (before taxes) per pay period? 5. How often v	
	6. Did you receive lodging or tips in addition to your pay? Yes No If yes, describe:	
D.	. YOUR INJURY OR ILLNESS	
	1. Date of injury or date of onset of illness:/	
	3. Where did the injury/illness happen? (e.g., 1 Main Street, Pottersville, at the front door)	
	4. Was this your usual work location? Yes No If no, why were you at this location? _	
	5. What were you doing when you were injured or became ill? (e.g., unloading a truck, typing a repor	f)
	5. What were you doing when you were injured or became in: (e.g., unloading a truck, typing a repor	9
	6. How did the injury/illness happen? (e.g., I tripped over a pipe and fell on the floor)	
	7. Explain fully the nature of your injury/illness; list body parts affected (e.g., twisted left ankle and cur	t to forehead):
	parties and sea (eight missed for all missed for al	

YOUR NAME:	DATE OF INJURY/ILLNESS:/
D. YOUR INJURY OR ILLNESS continu	Last
8. Was an object (e.g., forklift, hammer, acid) i	volved in the injury/illness?
9. Was the injury the result of the use or opera	ion of a licensed motor vehicle?
If your vehicle was involved, give name and	address of your motor vehicle insurance carrier:
10. Have you given your employer (or supervisor	
If yes, notice was given to:	Stally — III Willing 5 — — — —
11. Did anyone see your injury happen?	No Unknown If yes, list names:
E. RETURN TO WORK	
1. Did you stop work because of your injury/illr	ess? Yes, on what date?/ No, skip to Section F.
2. Have you returned to work?	No If yes, on what date?// regular duty Iimited duty
3. If you have returned to work, who are you w	orking for now? Same employer New employer Self employed
4. What is your gross pay (before taxes) per p F. MEDICAL TREATMENT FOR THIS IN	y period? How often are you paid? JURY OR ILLNESS
1. What was the date of your first treatment?	
2. Were you treated on site? Yes	
	cal treatment for your injury/illness?
,	Phone Number: ()
4. Are you still being treated for this injury/illne	
	reating you for this injury/illness:
	Phone Number: ()
5. Do you remember having another injury to t	
If yes, were you treated by a doctor? you and COMPLETE AND FILE FORM C-	
6. Was the previous injury/illness work related	Yes No
If yes, were you working for the same emplo	yer that you work for now? Yes No Yes No Yorkers' Compensation Law. My signature affirms that the information I am providing is true
and accurate to the best of my knowledge and bei	it.
Any person who knowingly and with INTENT will be presented to, or by an insurer, or se material fact, SHALL BE GUILTY OF A CRIME	O DEFRAUD presents, causes to be presented, or prepares with knowledge or belief that it insurer, any information containing any FALSE MATERIAL STATEMENT or conceals any nd subject to substantial FINES AND IMPRISONMENT.
	Print Name:Date:/
On behalf of Employee:	Print Name:
I certify to the best of my knowledge, information and to matters asserted above have evidentiary support, or are	elief, formed after an inquiry reasonable under the circumstances, that the allegations and other factual kely to have evidentiary support after a reasonable opportunity for further investigations or discovery.
	Date:/
Print Name:	Title:
ID No., if any: R If Licen	ed Representative, License No.: Expiration Date://

Instructions for Completing Employee Claim (Form C-3)

Please complete this form and send it to the Workers' Compensation Board centralized mailing address listed at the end of these instructions. If you need additional help completing this form, contact the Workers' Compensation Board at 1-877-632-4996. You may also fill this form out online at wcb.ny.gov. If you do not have or know your Workers' Compensation Board Case Number, please leave this field blank. It is not required to process your claim. Remember to enter your name and the date of your injury/illness on the top of page two.

Section A - Your Information (Employee):

In Section A, enter your name, address and other requested information.

Note on Item 7: Board hearings are conducted in English. If you need a translator, select Yes and indicate the language needed.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 U.S.C. § 552a).

The Workers' Compensation Board's (Board's) authority to request that claimants provide personal information, including their social security number, is derived from the Board's investigatory authority under Workers' Compensation Law (WCL) § 20, and its administrative authority under WCL § 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate claim records. Providing your social security number to the Board is voluntary. There is no penalty for failure to provide your social security number on this form: it will not result in a denial of your claim or a reduction in benefits. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

Section B - Your Employer(s):

In Section B, enter the name, address, phone number and other information of the employer you were working for at the time of the injury/illness.

Note: Your employer is the company or agency that issues your paycheck. If you are a contractor at a work site or office, the staffing agency or vendor who hired you is your employer, not the work site or office where you report to work.

Section C - Your Job on the Date of the Injury or Illness:

In Section C, enter your job title, work activities and pay information.

Section D - Your Injury or Illness:

In Section D, enter your injury or illness information.

Item 1: Enter the date you were injured or the first date you noticed you became ill.

If this is an illness or occupational disease, skip item 2. The date you were injured must be in month/day/year format. The year should be written as four digits, e.g., 2015.

Item 2: Enter the time when the injury occurred. Check whether it was AM or PM.

Item 3: Indicate the location where the injury/illness occurred, including the address of the building and the physical location in the building where the injury/illness happened.

Item 4: Check whether this was your normal work location. If it was not, explain why you were at this location.

Item 5: Describe in detail what you were doing at the time of the injury/illness (e.g., unloading boxes from a truck by hand).

This explains the events leading up to the injury.

Item 6: Describe in detail how the injury/illness occurred (e.g., I was lifting a heavy box off a truck). This should include all people and events involved in the injury/illness.

Item 7: Indicate fully the nature and extent of your injury/illness, including all body parts injured. Be as specific as possible (e.g., I strained my back trying to lift a heavy box. It hurts to bend over or hold even lighter objects now).

Item 8: Indicate if some object was involved in the accident other than a licensed motor vehicle. Other objects may include a tool (e.g., hammer), a chemical (e.g., acid), machinery (e.g., forklift or drill press), etc.

Item 9: Indicate if a licensed motor vehicle was involved in the accident. If so, check if the motor vehicle involved was yours, your employer's, or a third party's. Include the license plate number (if known). If your vehicle was involved, fill out the name and address of your automobile liability insurance carrier.

Item 10: Check if you gave your employer or supervisor notice of your injury or illness. If so, indicate who you gave notice to as well as if it was orally or in writing. Include the date you gave notice.

Item 11: Check if anyone else saw the injury happen. If anyone did see it, include their name(s).

Section E - Return to Work:

Item 1: If you stopped working as a result of your work-related injury/illness, check Yes and indicate the date you stopped working. If you have not stopped working, check No and skip to the next section.

Item 2: If you have since returned to work, check Yes. Also indicate on what date you started working again, as well as if you have returned to your Normal Duties or if you are on Limited or Restricted Duty. (If you have not returned to your full pre-injury or illness work duties, then you are on Limited Duty.)

Item 3: If you have returned to work, indicate who you are working for now.

Item 4: Enter your gross pay (before tax pay) per pay period for the job you are working at now. Indicate how often you are receiving a paycheck (weekly, bi-weekly, etc.).

Section F - Medical Treatment for This Injury or Illness:

Item 1: If you did not receive medical treatment for this injury/illness, check None Received and skip to item 5. Otherwise, enter the date you first received treatment for this injury/illness and complete the rest of this section.

Item 2: Check if you were first treated on the job for this injury or illness

Item 3: Check the location where you first received off site medical treatment for your injury or illness. Include the name and address of the facility as well as the phone number (including area code).

Item 4: If you are still receiving ongoing treatment for the same injury or illness, check Yes and indicate the name and address of the doctor(s) providing treatment as well as the phone number (including area code); otherwise, check No.

Item 5: If you believe you already had an injury to the same body part or a similar illness, check Yes and indicate if you were treated by a doctor for this injury or illness. If you were treated by a doctor, indicate the name(s) and address(es) of the doctor(s) whom provided care and complete and file Form C-3.3 together with this form.

Item 6: If you had a previous injury or illness, check if your previous injury or illness was work-related. If Yes, check if the injury or illness happened while working for your current employer.

Sign Form C-3 in the place provided for Employee's Signature on page 2, print your name, and enter the date you signed the form. If a third-party is signing on behalf of the employee, that person should sign on the second signature line. If you have legal representation, your representative must complete and sign the attorney/representative's certification section on the bottom of page 2.

What Every Worker Should Do in Case of On-The-Job Injury or Occupational Disease:

- 1. Immediately tell your employer or supervisor when, where and how you were injured.
- 2. Secure medical care immediately.
- 3. Tell your doctor to file medical reports with the Board and with your employer or its insurance carrier.
- 4. Make out this claim for compensation and send it to the nearest Workers' Compensation Board Office. (See below.) Failure to file within two years after the date of injury may result in your claim being denied. If you need help in completing this form, telephone or visit the nearest Workers' Compensation Board Office listed below.
- 5. Go to all hearings when notified to appear.
- 6. Go back to work as soon as you are able; compensation is never as high as your wage.

Your Rights:

- 1. Generally, you are entitled to be treated by a doctor of your choice, provided he/she is authorized by the Board. If your employer is involved in a preferred provider organization (PPO) arrangement, you must obtain initial treatment from the preferred provider organization which has been designated to provide health care services for workers' compensation injuries.
- 2. DO NOT pay your doctor or hospital. Their bills will be paid by the insurance carrier if your case is not disputed. If your case is disputed, the doctor or hospital must wait for payment until the Board decides your case. In the event you fail to prosecute your case or the Board decides against you, you will have to pay the doctor or hospital.
- 3. You are also entitled to be reimbursed for drugs, crutches, or any apparatus properly prescribed by your doctor and for carfares or other necessary expenses going to and from your doctor's office or the hospital. (Get receipts for such expenses.)
- 4. You are entitled to compensation if your injury keeps you from work for more than seven days, compels you to work at lower wages, or results in permanent disability to any part of your body.
- 5. Compensation is payable directly and without waiting for an award, except when the claim is disputed.
- 6. Injured workers or dependents of deceased workers may represent themselves in matters before the Board or may retain an attorney or licensed representative to represent them. If an attorney or licensed representative is retained, his/her fee for legal services will be reviewed by the Board and if approved will be paid by the employer or insurance company out of any compensation benefits due. Injured workers or dependents of deceased workers should not directly pay anything to the attorney or licensed representative representing them in a compensation case.
- 7. If you need help returning to work, or with family or financial problems because of your injury, contact the Workers' Compensation Board office nearest you and ask for a rehabilitation counselor or social worker.

This form should be filed by sending directly to the address listed below: New York State Workers' Compensation Board Centralized Mailing PO Box 5205 Binghamton, NY 13902-5205

Customer Service Toll-Free Number: 877-632-4996

STATE OF NEW YORK Andrew M. Cuomo, Governor

WORKERS' COMPENSATION BOARD Kenneth J. Munnelly, Chair

STATEMENT OF RIGHTS

TO ALL WORKERS WHO ARE INJURED WHILE WORKING OR WHO SUFFER FROM AN OCCUPATIONAL DISEASE YOU MAY BE ENTITLED TO WORKERS' COMPENSATION BENEFITS

- You should file a claim for benefits within two years of the date you are injured, unless your injury is very minor, requiring no medical treatment and causing no lost time from work. If you do not file within two years your right to benefits may be lost. Obtain and file a claim form (Form C-3, or VF-3 for volunteer firefighters, or VAW-3 for volunteer ambulance workers) with the nearest Workers' Compensation Board office (see addresses below).
- 2. You may be entitled to lost time benefits if your work-related injury keeps you from work for more than seven days, compels you to work at lower wages or results in permanent disability to any part of your body. You may be entitled to rehabilitation services if you need help returning to work. (In volunteer firefighters' and volunteer ambulance workers' cases, compensation for lost time or loss of earning capacity may be payable from date of injury.)
- 3. You are entitled to obtain any necessary medical treatment related to your injury and you should do so immediately.
- 4. For the treatment of your work-related injury or illness, you may choose any physician, podiatrist, chiropractor, or psychologist (upon referral from an authorized physician) who is Board authorized and who is accepting workers' compensation patients. If, however, your employer is involved in a certified preferred provider organization (PPO) arrangement, you must obtain initial treatment for any workers' compensation injury or illness from the preferred provider organization. Employers participating in this statutory program are required to provide their employees with written notification describing their employees' rights and obligations under the program.
- 5. You should inform your doctor to file copies of medical reports concerning your claim with the Workers' Compensation Board and your employer's insurance company, which is indicated at the bottom of this form.
- 6. You should not pay any medical providers directly for treatment of your work-related injury or illness. They should send their bills to your employer's insurance carrier. If there is a dispute, the provider must wait until the Board makes a decision before it attempts to collect payment from you. If you do not pursue your claim or the Board rules that your injury is not work-related, you may be responsible for the payment of the bills.
- 7. The employer is liable for the replacement or repair of an employee's prosthesis (e.g., artificial members, false teeth, eyeglasses), which has been lost or damaged in the course of employment, whether or not there was bodily injury to the employee. You are also entitled to be reimbursed for drugs, crutches or any apparatus properly prescribed by your doctor, and transportation and other necessary expenses going to and from your doctor's office or hospital. (You should get receipts for all such expenses.)
- 8. You are entitled to be represented by an attorney or licensed representative, but it is not required. If you do hire an attorney or licensed representative, you should not pay him/her directly. Any fee will be set by the Board and will be deducted from your award.
- g. Lost time and medical benefits are payable directly without a formal direction from the Board, unless your claim is disputed. If your claim is disputed on the grounds that your injury is not work-related or did not arise in the line of volunteer firefighter or ambulance worker duties, then you may qualify for disability benefits for non-work injuries. For more information on entitlement to disability benefits, contact the Workers' Compensation Board office nearest you.
- 10. You should go back to work as soon as you are able; compensation is never as high as your wage. If you need help returning to work, or with family or financial problems because of your injury, you should contact the nearest Board office and ask for a rehabilitation counselor or social worker.
- 11. Your employer may not ask you to waive your right to compensation nor may your employer deduct any money from your pay to contribute to the payment of workers' compensation insurance premiums. Further, you cannot be discharged or discriminated against because you filed a claim for workers' compensation benefits.

IF YOU HAVE DIFFICULTY IN OBTAINING A CLAIM FORM OR NEED HELP IN FILLING IT OUT, OR IF YOU HAVE ANY OTHER QUESTIONS OR PROBLEMS ABOUT A JOB-RELATED INJURY OR DISEASE, CONTACT ANY OFFICE OF THE WORKERS' COMPENSATION BOARD.

This information is a simplified presentation of your rights under the Workers' Compensation Law. It is provided, as required by Section 110 of the Workers' Compensation Law, by your employer's insurance carrier:

NCAComp, Inc. 14 Lafayette Square Suite 700 Buffalo, NY 14203 KENNETH J. MUNNELLY CHAIR

NYS Workers' Compensation Board, Centralized Mailing, PO Box 5205, Binghamton, NY 13902-5205

ESTADO DE NUEVA YORK Andrew M. Cuomo, Gobernador

DECLARACION DE DERECHOS

JUNTA DE COMPENSACION OBRERA

Kenneth J. Munnelly, Presidente

A TODO EMPLEADO LESIONADO EN EL TRABAJO O QUE SUFRA DE ENFERMEDAD OCUPACIONAL: USTED PUEDE TENER DERECHO A BENEFICIOS DE COMPENSACION OBRERA

- 1. Usted deberá presentar una reclamación de beneficios dentro del término de dos años del dia en que fue lesionado, a menos que la lesión sea tan pequeña que no requiera tratamiento médico y que no cause interrupción en su jornada de trabajo. Si no radica dentro del término de dos años, puede perder sus derechos a beneficios. Consiga y radique una forma de reclamación (Forma C-3, o VF-3 para bomberos voluntarios, o VAW-3 para empleados voluntarios de ambulancias) en la oficina más cercana de la Junta de Compensación Obrera (direcciones más abajo).
- 2. Usted tiene derecho a compensación si su lesión relacionada con el trabajo le impide trabajar por más de siete días, le obliga a trabajar a sueldo más bajo ó resulta en incapacidad permanente de cualquier parte de su cuerpo. Usted puede tener derecho a servicios de rehabilitación si necesita ayuda para regresar al trabajo. (Bomberos voluntarios y Trabajadores de Ambulancia Voluntarios pueden ser compensados desde el mismo dia de su lesión.)
- 3. Usted tiene derecho a recibir tratamiento médico relacionado con su lesión y debe obtenerlo inmediatamente.
- 4. Para el tratamiento de cualquier lesión o enfermedad relacionada con el trabajo, usted puede escoger cualquier médico, podiatra, quiropractico ó psicologo (si es referido por un médico autorizado) que esté autorizado y acepte pacientes de la Junta de Compensación Obrera. Sin embargo, si su patrono está autorizado a participar en una organización certificada de proveedores preferidos (PPO), usted deberá obtener tratamiento inicial para cualquier lesión o enfermedad relacionada con el trabajo de la correspondiente entidad. Patronos que participen en esta programa establecida por ley estan obligados a proveer a sus empleados notificación escrita explicando sus derechos y obligaciones bajo el programa a que esté acogido.
- 5. Usted deberá requerir de su Médico que radique copias de los informes médicos de su caso en la Junta de Compensación Obrera y en la compañía de seguros de su patrono, que se indica al final de esta forma.
- 6. No pague a ningun proveedor médico directamente por tratamiento de su lesión o enfermedad relacionada con el trabajo. Ellos deben enviar sus facturas al asegurador de su patrono. Si el caso es cuestionado, el proveedor deberá esperar hasta que la Junta decida el caso, antes de iniciar gestión de cobro alguna contra usted. Si usted no tramita su caso ó la Junta falla que su lesión o enfermedad no está relacionada con el trabajo, usted podría ser responsable del pago de las facturas.
- 7. El patrono es responsable de la sustitución y reparación de aquellos implementos médicos que han sido perdidos o se han deteriorado como consecuencia del empleo, sin que importe el que el empleado haya onosufrido lesión (Ej. miembros artificiales, dentadura postiza, espejuelos). Usted tambien tiene derecho a ser reembolsado por medicinas, muletas, o cualquier otro implemento debidamente recetado por su médico y por transportación u otro gasto necesario para ir al médico óalhospital. (Obtenga recibos para justificar gastos.)
- 8. No es obligatorio el estar representado en ninguno de los procedimientos de la Junta, pero es un derecho que usted tiene, el estar representado por abogado ó por representante licenciado si usted así lo desea. Si es representado, no pague al abogado ó al representante licenciado. Cuando la Junta decida su caso, los honorarios seran determinados por la Junta y descontados de sus beneficios.
- 9. La compensación se paga inmediatamente, sin esperar por la adjudicación del caso, excepto cuando la reclamación es cuestionada. Si la reclamación es cuestionada en base a que la incapacidad no fue causada por un accidente relacionado con su trabajo ó por una enfermedad ocupacional ó por una lesión en el cumplimiento de su deber como bombero voluntario ó como miembro voluntario del cuerpo de ambulancia, usted puede tener derecho a recibir beneficios por incapacidad (para lesiones fuera del trabajo). Si su reclamación es cuestionada y no está recibiendo beneficios por incapacidad, comuniquese con cualquier oficina de la Junta.
- 10. Regrese a su trabajo tan pronto pueda. La compensación nunca es tan alta como su sueldo. Si necesita ayuda para regresar al trabajo ó para resolver problemas financieros ó personales por causa de la lesión sufrida, comunicate con la oficina mas cercana de la Junta y solicita hablar con un trabajador social o con un consejero de rehabilitación.
- 11. Su patrono no puede solicitar que usted le releve de su derecho a compensación, ni puede descontar cantidad alguna de su paga para contribuir al pago de las primas del seguro. Usted no podrá ser despedido ni penalizado por radicar una reclamación en la Junta.

SI TIENE DIFICULTAD EN CONSEGUIR UN FORMULARIO DE RECLAMACIÓN O NECESITA AYUDA PARA LLENARLO Ó TIENE DUDAS SOBRE CUALQUIER SITUACIÓN RELACIONADA CON UNA LESIÓN O ENFERMEDAD COMUNIQUESE CON LA OFICINA MAS CERCANA DE LA JUNTA.

Este resumen es una compilación de los puntos más importantes de sus derechos bajo la ley de compensación obrera. La sección 110 de la ley requiere de su patrono ofrecerle esta información.

NCAComp, Inc. 14 Lafayette Square Suite 700 Buffalo, NY 14203 KENNETH J. MUNNELLY PRESIDENTE

NYS Workers' Compensation Board, Centralized Mailing, PO Box 5205, Binghamton, NY 13902-5205



Limited Release of Health Information

State of New York - Workers' Compensation Board

C - 3.3

WCB Case No. (if you know it):_____

To Claimant: If you received treatment for a *previous* injury to the same body part or for an illness similar to the one described in your current Claim, fill out this form. This form allows the health care providers you list below to release health care information about your previous injury/illness to your employer's workers' compensation insurer. The federal HIPAA law (Health Insurance Portability and Accountability Act of 1996) says you have a right to get a copy of this form. If you do not understand this form, talk to your legal representative. If you do not have a legal representative, the Advocate for Injured Workers at the Workers' Compensation Board can help you. Call: 800-580-6665.

To Health Care Provider: A copy of this HIPAA-compliant release allows you to disclose health information. If you send records to the employer's workers' compensation insurer in response to this release, also mail copies to the Claimant's legal representative. (If no legal representative is listed below, send copies to the Claimant.) Health care providers who release records must follow New York state law and HIPAA.

This release is:

- Voluntary. Your health care provider(s) must give you the same care, payment terms, and benefits, whether you sign this form or not.
- Limited. It gives your health care provider(s) permission to release only those health records that are related to the previous illness/condition you describe helpw
- Temporary. It ends when your current claim for compensation is established or disallowed and all appeals are exhausted.
- Revocable. You can cancel this release at any time. To cancel, send a letter
 to the health care provider(s) listed on this form. Also, send a copy of your
 letter to your employer's workers' compensation insurer and the Workers'
 Compensation Board. Note: You may not cancel this release with respect to
 medical records already provided.
- For records only. It gives your health care provider(s) listed on this form permission to send copies of your health care records to your employer's workers' compensation insurer.

This form does NOT allow your health care provider(s) to release the following types of information:

- HIV-related information
- Psychotherapy notes
- Alcohol/Drug treatment
- Mental Health treatment (unless you check below)
- Verbal information (your health care providers may not discuss your health care information with anyone)

Any medical records released will become part of your workers' compensation file and are confidential under the Workers' Compensation Law.

A.	YOUR INFORMATION (Claimant)	
	1. Name:	2. Social Security Number:
	3. Mailing Address:	
	4. Date of Birth:/ 5. Date of the cu	
	6. Current injury/illness, including all body parts injured:	
	7. Your legal representative's name and address (if any):_	
	Check here if you allow your health care provider(s) to r	release mental health care information.
В.	illness. If more than 2 providers attach their contact inform	
	1. Provider:	2. Phone Number: ()
	Mailing Address:	
	4. Other provider (if any):	5. Phone Number: ()
	Mailing Address:	
C.	READ AND SIGN BELOW. I hereby request that the insurer copies of all health records related to any previous	e health care provider(s) listed above give my employer's workers' compensation injury/illness, to all body parts, described above.
	Claimant's signature (ink only use blue ballpoint pen, if poss	sible.) Date
	If the claimant is unable to sign, the person signing of	on his/her behalf must fill out and sign below:
	Your name Relationship to Claimant	Signature (ink only use blue ballpoint pen, if possible.) Date



Divulgación limitada de información sobre la salud

Estado de Nueva York - Junta de Compensación Obrera (WCB)

WCB Case No. (if you know it) (Número de caso WCB [si lo sabel)

Al reclamante: Si usted recibió tratamiento por una lesión anterior en la misma parte del cuerpo o por una enfermedad similar a la que motiva ahora su reclamación, complete este formulario. Este formulario les permite a los proveedores de salud que usted señala a continuación divulgar a la compañía de seguros de compensación obrera de su empleador la información sobre su salud relacionada con su lesión/enfermedad anterior. La Ley federal HIPAA (Ley de portabilidad y responsabilidad del seguro de salud de 1996) establece que usted tiene derecho a recibir una copia de este formulario. Si no comprende este formulario, hable con su representante legal. Si no tiene un representante legal, el Representante de los obreros lesionados de la Junta de Compensación Obrera puede ayudarlo. Llame al 800-580-6665.

Al proveedor de salud: Una copia de esta divulgación, redactada según lo que establece la ley HIPAA, le permite divulgar información sobre la salud. Si envía los registros al asegurador de compensación obrera del empleador en respuesta a la presente divulgación, también debe enviar por correo copias al representante legal del reclamante. (Si a continuación no se especifica un representante legal, envíe las copias al reclamante). Los proveedores de salud que divulgan los registros deben cumplir con las leyes del estado de Nueva York y la HIPAA.

Esta divulgación es:

- Voluntaria. Su(s) proveedor(es) de salud deben otorgarle la misma atención, condiciones de pago y beneficios, independientemente de que usted firme este formulario o no.
- Limitada. Le otorga a su(s) proveedor(es) de salud permiso para divulgar únicamente los registros médicos que se relacionen con la enfermedad/ afección anterior que usted describe a continuación.
- Temporal. Termina cuando se otorgue o desestime su actual reclamación de compensación y se hayan agotado todas las apelaciones.
- Revocable. Usted puede cancelar esta divulgación en cualquier momento. Para hacerlo, envíe una carta al (a los) proveedor(es) de salud que se indican en este formulario. Además, envíe una copia de su carta a la compañía de seguros de compensación obrera de su empleador y a la Junta de Compensación Obrera. Nota: No podrá cancelar esta divulgación en lo que se refiere a registros médicos que ya se hayan provisto.
- Solamente para registros. Le otorga a su(s) proveedor(es) de salud que se indica(n) en este formulario permiso para enviar copias de sus registros de salud a la compañía de seguros de compensación obrera de su empleador.

Este formulario NO autoriza a su(s) proveedor(es) de salud a divulgar los siguientes tipos de información:

C=3

- Información relacionada con el VIH
- Notas de terapia psicológica
- Tratamientos por abuso de alcohol o drogas
- Tratamiento de salud mental (a menos que usted lo indique a continuación)
- Información verbal (sus doctores no pueden hablar con nadie sobre su información de salud)

Los registros médicos divulgados se incorporarán a su expediente de compensación obrera y son confidenciales conforme a la Ley de compensación obrera.

CONTESTA LAS SIGUIENTES PREGUNTAS, EN INGLÉS SI ES POSIBLE, EN LOS ESPACIOS PROVISTOS Y FIRMA AL FRENTE DE LA FORMA.

A. YOUR INFORMATION (Claimant) INFORMACIÓN PERSONAL (Reclamante)

1. Name (Nombre)

- 2. Social Security Number (Número de seguro social)
- 3. Mailing Address (Dirección postal)
- 4. Date of Birth (Fecha de nacimiento)
- 5. Date of the current injury/illness (Fecha de la lesión/enfermedad actual)
- 6. Current injury/illness, including all body parts injured (Descripción de la lesión/enfermedad actual, incluyendo todas las partes del cuerpo lesionadas)
- 7. Your legal representative's name and address (if any) (Nombre v dirección de su representante legal [si corresponde]) Check here if you allow your health provider(s) to release mental health care information. (Margue agui si autoriza a su(s) proveedor(es) de salud a divulgar información sobre tratamientos de salud mental.)
- B. YOUR HEALTH CARE PROVIDERS (List all health care providers who treated you for a previous injury to the same body part or similar illness. If more than 2 providers, attach their contact information to this form.

SU(S) PROVEEDOR(ES) DE SALUD (Enumere todos los proveedores de salud que le han tratado por lesiones previas a las mismas areas del cuerpo ó por enfermedades semejantes. Si son más de 2 proveedores, adjunte su información de contacto a este formulario.)

- Provider (Proveedor de salud)
- 2. Phone Number (Nº de teléfono)
- 3. Mailing Address (Dirección postal)
- 4. Other provider (if any) (Otro proveedor [si corresponde])
- 5. Phone Number (Nº de teléfono)

- 6. Mailing Adress (Dirección postal)
- C. READ AND SIGN BELOW I hereby request that the health care provider(s) listed above give my employer's workers' compensation insurer copies of all health records related to any previous injury/illness, to all body parts, described above. LEAY FIRME A **CONTINUACIÓN.** Por la presente solicito que los proveedores de salud aquí enumerados le provean al asegurador de compensación obrera de mi patrono copias de todos los records médicos relacionados a cualquier lesión/enfermedad aquí enumeradas.

If the claimant is unable to sign, the person signing on his/her behalf must fill out and sign below: (Si el reclamante no puede firmar, la persona que firme el formulario en su nombre y representación debe llenar y firmar a continuación)

Claimant's signature (Firma del reclamante) use solo tinta - preferiblemente azul Date (Fecha)

Your name (Su nombre)

Date(Fecha)





AUTHORIZATION FOR RELEASE OF HEALTH INFORMATION PURSUANT TO HIPAA [This form has been approved by the New York State Department of Health]

Patient Name Date of Birth Social Security Number

Patient Address

I, or my authorized representative, request that health information regarding my care and treatment be released as set forth on this form: In accordance with New York State Law and the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), I understand that:

- 1. This authorization may include disclosure of information relating to ALCOHOL and DRUG ABUSE, MENTAL HEALTH TREATMENT, except psychotherapy notes, and CONFIDENTIAL HIV* RELATED INFORMATION only if I place my initials on the appropriate line in Item 9(a). In the event the health information described below includes any of these types of information, and I initial the line on the box in Item 9(a), I specifically authorize release of such information to the person(s) indicated in Item 8.
- 2. If I am authorizing the release of HIV-related, alcohol or drug treatment, or mental health treatment information, the recipient is prohibited from redisclosing such information without my authorization unless permitted to do so under federal or state law. I understand that I have the right to request a list of people who may receive or use my HIV-related information without authorization. If I experience discrimination because of the release or disclosure of HIV-related information, I may contact the New York State Division of Human Rights at (212) 480-2493 or the New York City Commission of Human Rights at (212) 306-7450. These agencies are responsible for protecting my rights.
- 3. I have the right to revoke this authorization at any time by writing to the health care provider listed below. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization.
- 4. I understand that signing this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.
- 5. Information disclosed under this authorization might be redisclosed by the recipient (except as noted above in Item 2), and this redisclosure may no longer be protected by federal or state law.
- 6. THIS AUTHORIZATION DOES NOT AUTHORIZE YOU TO DISCUSS MY HEALTH INFORMATION OR MEDICAL CARE WITH ANYONE OTHER THAN THE ATTORNEY OR GOVERNMENTAL AGENCY SPECIFIED IN ITEM 9 (b).

CARE WITH ANYONE OTHER THAN THE ATTORNEY OR GOVERNMENTAL AGENCY SPECIFIED IN ITEM 9 (b).						
7. Name and address of health provider or entity to release this information:						
8. Name and address of person(s) or category of person to whom	this information will be sent:					
9(a). Specific information to be released:						
☐ Medical Record from (insert date)	_ to (insert date)					
☐ Entire Medical Record, including patient histories, office notes (except psychotherapy notes), test results, radiology studies, films, referrals, consults, billing records, insurance records, and records sent to you by other health care providers.						
☐ Other:	Include: (Indicate by Initialing)					
	Alcohol/Drug Treatment					
	Mental Health Information					
Authorization to Discuss Health Information	HIV-Related Information					
(b) ☐ By initialing here I authorize						
(b) ☐ By initialing here I authorize						
to discuss my health information with my attorney, or a go	vernmental agency, listed here:					
(Attorney/Firm Name or C	Governmental Agency Name)					
10. Reason for release of information:	11. Date or event on which this authorization will expire:					
☐ At request of individual						
☐ Other:						
12. If not the patient, name of person signing form:	13. Authority to sign on behalf of patient:					
All items on this form have been completed and my questions ab copy of the form.	out this form have been answered. In addition, I have been provided a					

* Human Immunodeficiency Virus that causes AIDS. The New York State Public Health Law protects information which reasonably could identify someone as having HIV symptoms or infection and information regarding a person's contacts.

Signature of patient or representative authorized by law.

Date:

Instructions for the Use of the HIPAA-compliant Authorization Form to Release Health Information Needed for Litigation

This form is the product of a collaborative process between the New York State Office of Court Administration, representatives of the medical provider community in New York, and the bench and bar, designed to produce a standard official form that complies with the privacy requirements of the federal Health Insurance Portability and Accountability Act ("HIPAA") and its implementing regulations, to be used to authorize the release of health information needed for litigation in New York State courts. It can, however, be used more broadly than this and be used before litigation has been commenced, or whenever counsel would find it useful.

The goal was to produce a standard HIPAA-compliant official form to obviate the current disputes which often take place as to whether health information requests made in the course of litigation meet the requirements of the HIPAA Privacy Rule. It should be noted, though, that the form is optional. This form may be filled out on line and downloaded to be signed by hand, or downloaded and filled out entirely on paper.

When filing out Item 11, which requests the date or event when the authorization will expire, the person filling out the form may designate an event such as "at the conclusion of my court case" or provide a specific date amount of time, such as "3 years from this date".

If a patient seeks to authorize the release of his or her entire medical record, but only from a certain date, the first two boxes in section 9(a) should both be checked, and the relevant date inserted on the first line containing the first box.



EQUIAN Rx FIRST FILL INFORMATION SHEET

PATIENT INFO

Take this information sheet along with your prescriptions to any one of the participating pharmacies in our network. Your prescriptions will be filled with generic drugs unless otherwise indicated by your physician. If you choose to receive brand name drugs when a generic is authorized, you will be responsible for the difference in cost. You will only receive your initial prescribed medication up to a 14 day supply.

LOCATING A PHARMACY

You may fill your prescriptions at any pharmacy in our network. This network includes many smaller independent pharmacies as well as most of the chain pharmacies such as Wal-Mart, Target, Walgreens, CVS, Rite Aid and many others. If you have trouble finding a local pharmacy in the network, please call 866-895-2021 Monday through Friday 8:00 am – 5:00 pm CT.

NOTICE TO PHARMACISTS:

This First Fill Information Sheet is to be used on a one time basis and expires 24 hours from it's initial use for the initial medications only. <u>Medications will be filled with a maximum of a 14 day supply</u>. All manual submissions or submissions from other billing sources will be rejected by Equian Rx.

NOTICE TO PATIENT:

This information is to be used on a temporary (one use) basis only. When your plan is notified that you have used the first fill and accepts your claim, we will send you a permanent card for use with all future prescriptions that are related to your claim. This is not a guarantee of benefits.

Any unauthorized or fraudulent use to obtain prescription drugs is punishable by law.

For all processing questions, including blocked transactions, please call 866-895-2021.

RxBIN: 010553

PCN: ALS

Carrier Name: NCA Comp

RxGroup: BMFF2033

ID: Patient's SSN and date of injury Example: nnnnnnnnmmddyy 14 DAY SUPPLY LIMIT

FIRST FILL TERMINATES 24 HRS AFTER INITIAL USE

No Person Code used.

The eligibility of benefits under this information is determined solely by the online system. All manual submissions or submissions from other billing sources will be rejected by Equian Rx.

Mandatory generic substitution unless otherwise noted by physician.

Administered by: Catamaran



Notice That Claimant Must Arrange for Diagnostic Tests & Examinations through a Network Provider

DT-1

State of New York - Workers' Compensation Board

Claimants are required to obtain Diagnostic Tests and Examinations through the Carrier's Diagnostic Testing Network(s) identified below. This Notice is supplied to the Claimant and Treating Medical Provider pursuant to Workers' Compensation Law §13-a(7) and 12 NYCRR 325-7. Failure to provide the required notice relieves the Claimant of his/her obligation to use the diagnostic testing network(s).

NCAComp, Inc.

Dat	te of Notice:
Ch	neck the applicable box below:
Cla	imant: WCB Case Number: WCB Name (If Available)
	ling Address:
	rier Case Number:
	Notice to the Treating Medical Provider
Nar	ne of Treating Medical Provider:Authorization No.:
	ling Address:
	ntify the Diagnostic Examination or Test that the Claimant must schedule using the Diagnostic Testing Network (check all applicable boxes): All MRI CT EMG/NCS Diagnostic Ultrasound X-Ray Other:
To:	schedule a diagnostic examination or test, contact the Diagnostic Testing Network listed below:
	agnostic Testing Network ntify the diagnostic testing network name, address, toll-free telephone number and any web address or e-mail contact information below:
Dia	gnostic Testing Network: OCM IPA, Inc.
	iling Address: 20 Waterview Boulevard, Parsippany, NJ 07054
	one Number: (800) 872-2875 Fax Number: (866) 632-2161
We	b Address: www.onecallmedical.com E-mail Address: referrals@onecallmedical.com
STA	ATEMENT OF RIGHTS AND OBLIGATIONS - DIAGNOSTIC TESTING NETWORKS (WCL \$13-8(7) and 12 NYCRR \$325-7)
1.	The claimant will receive the name, address and phone number of at least five [5] providers. The providers must be located within a reasonable distance from the claimant's home or work. The network must provide the claimant with all providers if there are fewer than five [5] within a reasonable distance.
2.	The test must be scheduled and performed within five [5] business days of the request. If the network asks the carrier to approve the test, it must still be performed within five [5] business days of the request from claimant's doctor.
3.	The claimant may select any network provider to perform the test.
4.	The claimant may discuss with his or her doctor which provider to choose.
5 .	The claimant should share this notice with all of his or her doctors.
6.	The claimant does not have to use a network provider under these circumstances: a. The provider can't schedule the test within five [5] business days. b. The carrier has challenged (controverted) or will controvert the claim. c. In a medical emergency. d. For x-rays taken during an office visit and used for diagnosis and treatment of: fractures, possible fractures, joint dislocations, tumors, infections, loosening of surgical implants, dislocation of prosthetic joints, spinal instability, or follow-up to surgery.

- 7. If the carrier doesn't provide the required notice, the carrier must pay for tests outside of the network.
- 8. On written request, the network will provide the actual test film, data or digital images to the claimant's doctor. These items will be sent to the claimant's doctor with the report or within three [3] business days of receipt of the written request. A doctor may order a second test from the network for the purpose of obtaining an accurate diagnosis as set forth in the Medical Treatment Guidelines if the quality of the test is inadequate.
- 9. The claimant is entitled to reimbursement for reasonable travel costs to and from the provider.

More information on diagnostic testing networks is available in Subject Number 046-480, located on the Board's website under Board Bulletins and Subject Numbers.